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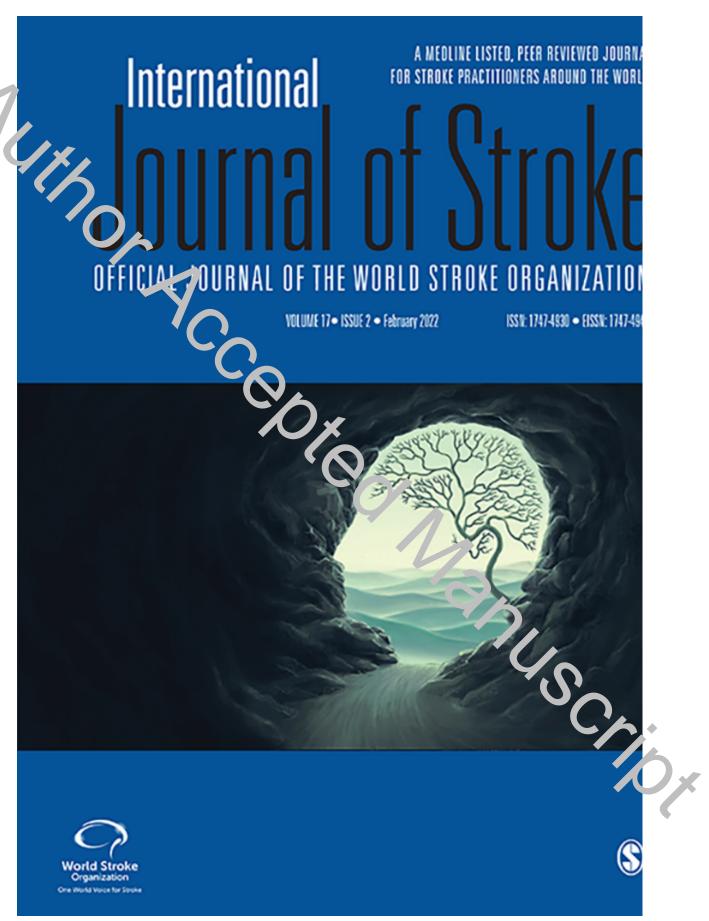
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Stroke in Sierra Leone: Case fatality rate and functional outcome after stroke in Freetown

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Keywords: Stroke, case fatality rate, mortality, sierra leone, africa, barthel index, function

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Tables and figures

- 1. Table one: Case fatality rate by stroke type, at 7 days, 30 days, 90 days, one fear and two years post stroke
- 2. Table two: Cox Proportional Hazards model for fatality for all strokes
- 3. Table three: Logistic regression for functional independence with assistance (BI≥85) at one year
- 4. Figure 1: Kaplan-Meier estimates for stroke survival from date of stroke onset.
- 5. Figure 2: Sankey plot of flow of functional outcome pre-stroke, seven days, 90 days and one year post stroke

Abstract

<u>Background:</u> There is limited information on long term outcomes after stroke in Sub-Saharan Africa (SSA). Current estimates of case fatality rate (CFR) in SSA are based on small sample sizes with varying study design and report heterogenous results.

<u>Aims:</u> We report CFR and functional outcomes from a large, prospective, longitudinal cohort of stroke patients in Sierra Leone and describe factors associated with mortality and functional outcome.

Methods: A prospective longitudinal stroke register was established at both adult tertiary government hospitals in Freetown, Sierra Leone. It recruited all patients ≥18 years with stroke, using the voorld Health Organization definition, from May 2019 until October 2021. To reduce selection bias onto the register all investigations were paid by the funder and outreach conductors or use awareness of the study. Sociodemographic data, National Institute of Health Strole Scale (NIHSS) and Barthel Index (BI) was collected on all patients on admission, at seven days, Sociodemographic data, National hazards models were constructed to identify factors associated with all-cause mortality. A binomial logistic regression model apports odds ratio (OR) for functional independence at one year.

Results: 986 patients with stroke we' a include 7, of which 857 (87%) received neuroimaging. Follow up rate was 82% at one year, missing them data was <1% for most variables. Stroke cases were equally split by sex and mean age va. 8.9 (SD: 14.0) years. 625 (63%) were ischaemic, 206 (21%) primary intracerebral haemo (hae r, 25 (3%) subarachnoid haemorrhage and 130 (13%) were of undetermined cooke type Median NIHSS was 16 (9-24). CFR at 30 days, 90 days, 1 year and 2 years was 37%, 4/ / 49% and 53% respectively. Factors associated with increased fatality at any timepoint were at all esex HR:1.28 (1.05-1.56), previous stroke HR:1.34 (1.04-1.71), atrial fibrillation HR: ..58(1.06-2.34), subarachnoid haemorrhage HR:2.31 (1.40-3.81), undetermined s rong type HR: 3.18(2.44-4.14) and in-hospital complications HR: 1.65 (1.36-1.98). 93% of patier is were completely independent prior to their stroke, declining to 19% at one year after stroke. Functional improvement was most likely to occur between 7 and 90-days post stroke vith 55% patients improving, and 13% improving between 90 days to one year. Increasing age OR: 0.97 0.95-0.99), previous stroke OR: 0.50 (0.26-0.98), NIHSS OR 0.89 (0.86-0.91), undeterning ad survive type OR:0.18 (0.05-0.62) and ≥1 in hospital complication OR:0.52 (0.34-0.80) were associated with lower OR of functional independence at one year. Hypertension OR:1.95 (1.14-3.44) and being the primary breadwinner of the household OR:1.59 (1.01-2.49) were associated with functional independence at one year.

<u>Conclusion</u>: Stroke affected younger people, and resulted in high rates of fatality and functional impairment relative to global averages. Key clinical priorities for reducing fatality include preventing stroke-related complications through evidence-based stroke care; improved detection and management of atrial fibrillation, and increasing coverage of secondary prevention. Further research into care pathways and interventions to encourage

care seeking for less severe strokes should be prioritized, including reducing the cost barrier for stroke investigations and care.

<u>Data availability:</u> Requests for access to anonymized data should be made to the SISLE team https://www.kcl.ac.uk/research/stroke.

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Introduction

It is estimated that stroke is the second leading cause of adult death in Sub Saharan Africa (SSA), and a cause of significant morbidity¹. However, prospective stroke studies of case fatality rate and functional outcome in SSA are limited in number and quality ², and long-trum outcomes after stroke have not been previously studied in Sierra Leone. Ur dere anding survival and functional outcome after stroke is important to provide prognost 2 information for the patient, characterise the natural history of stroke and for health syrtem planning to meet the acute and long-term care needs of patients after stroke ³.

The evidence base for CFP after stroke in SSA is heterogenous and of varying quality⁴. Available data suggest that mortality is higher in some African countries than global estimates⁵. A systematic review of CFR in SSA, published in 2021, included 91 studies⁶ with 9 (9.9%) studies rated as high-quality evidence. CFR at 90 days was 22.3% [95%CI: 16.6–29.2] and at one year was 33.2% (9 /% C : 23.6–44.5). For one year CFR, the review included a pooled sample size of 4809 patient with CFR displaying high heterogeneity ranging from 9.5% to 75.4% across studies. In contrast, a recent prospective hospital study of 564 stroke patients in Ghana, found CFR at 3 mor ins and one year of 43.2% and 49.7% respectively. This compares to global 30-day CFR of 17.30°, reported in high income countries and 18-35% in low and middle income countries8. Vo 14w de, CFR differs by stroke type, generally being higher for intracerebral haemorrhage compare into ischaemic stroke at one year^{3, 9}. Evidence suggests that prevalence of stroke type and stoke subtypes prevalence differ in SSA, with higher proportions of intracerebral haemorchage, han proportions of small vessel disease and lower proportions of cardioembolic isc't as nic stroke subtypes, compared to other regions¹⁰⁻¹². The different prevalence of stroke types and subtypes may impact case fatality, indeed pooled estimates of CFR at one year in SSA are nigher for ischaemic stroke compared to intracerebral haemorrhage⁶. Older age is a nonmodificable ack factor for stroke strongly associated with both increased case fatality and worse functional outcome after stroke in other regions¹³. However, stroke occurs at a younger age in SSA¹⁴ compared to other regions and life expectancy at birth also differs⁵. Previous work in Sie 22 Lec 12. suggests stroke occurs at median age of 59 years¹⁵, in the context of an adult liese pectancy at birth of 60 years, 20 years younger than other regions¹⁶.

Due to differences in demographics, prevalence of stroke types and access to high quality stroke care, we hypothesise that CFR and variables associated with CFR may differ in Sierra Leone compared to global rates and associations. A previous retrospective hospital based study in Sierra Leone found in-hospital mortality to be associated with prior stroke, hypertension, haemorrhagic stroke and aspiration pneumonia¹⁷. Whilst a prospective study at the same hospital found male sex, pneumonia, subarachnoid haemorrhage and undetermined stroke types were associated with in-hospital death¹⁵. In this paper we

describe long-term case fatality and function after stroke in Sierra Leone and identify factors associated with survival and functional outcome.

Methods

A prospective stroke register was established at the two adult tertiary government hospitals in Freetown, Sierra Leone at Connaught Teaching Hospital from 1st May 2019 until 30th Sptember 2021 and at 34th Military Hospital from 1st February 2021 until 2nd September 20'_1. / .. patients 18 years and over meeting the WHO ICD10 definition of stroke were included The study methods and the health care setting have been previously described 15. All strcke sub-ypes were included: ischaemic (ICD63); intracerebral haemorrhage (ICD61); sub-arac, nois, he emorrhages (ICD60) and undetermined stroke types(ICD62)18. Classification of pathological stroke subtype, using the Oxford Community Stroke Project (OCSP) classification 19 w s conducted by an experienced stroke physician, with reference to the case history, investigation results, and imaging. During the study period, stroke investigations, including Circumning, were funded by the grant, National Institute for Health Research (NIHR) (SHR: 17.53:66), and provided free-of-charge to patients, to reduce bias in access to investigation s. During the study period there was no functional CT scanner at either of the hospitals, so CT scanning was provided at two off-site private radiology centres. The study supported ambulance in insfer accompanied by a clinician to enable safe access to imaging. Participants who ric not regive neuroimaging, (often in practice due to being too critically ill to transfer for scanning, cothose in whom neuroimaging was not conclusive were classified as undetermined stoke ype.

Participants were followed up at 90 days, one year and two years post stroke. Patients were primarily contacted by telephone, and those uncontactable vice evisited at home. We report on follow up from 1st May 2019 to 22nd July 2022. All-cause mortality was recorded from hospital records and as reported by caregiver or relative at the contact of the Barthel Index (BI) and was retrospectively reported by patients and family seven days prior to stroke, then measured at seven days post stroke, at one year, and each year after stroke. BI was categorised as, completely dependent (BI<60), dependent (BI=60-84), independent with assistance BI≥85²⁰ and independent without assistance as BI=100.

Survival curves were constructed for the whole population, and for subgroups by carcine subtype, age, and sex; all using the Kaplan-Meier method. Multivariable Cox propor ional-hazards models were conducted to assess the independent effect of variables on all-caube mortality. A logistic regression model, reporting Odds ratios (OR) was created for functional independence at one year. A full description of stroke type classification, risk factors, missing data and regression model development is provided in the appendix.

All data was collected on standardised paper Case Report Forms. Double data entry was conducted, and all data uploaded onto REDCap^{™21}. Statistical analyses were performed in STATA v17, StataCorp^{™22}. The study received ethical approval from King's College London (HR-18/19-8467) and approval from the Sierra Leone Ethical and Scientific Review

Committee on 18th December 2018. Written consent was sought from all patients. For those judged not to have capacity, informed consent was sought from the next of kin.

Results

The register recruited 1145 people with suspected strokes. After clinical review and neuroimaging, 986 were confirmed as strokes and were maintained as the core population for analysis, 915 at Connaught Teaching Hospital and 71 at 34th Military Hospital. Stroke cases were equally split by sex and mean age was 58.9 (SD: 14.0) years. During the study pering there was no stroke unit at either hospital and no patients received thrombolytic tl erz by cr mechanical thrombectomy. The median time from stroke onset to admission was 24 nour and median length of stay was 7 days (IQR 3-12). 857 (87%) patients underwent neuroim ging 847 received CT scans and 10 received MRI, comparative statistics of patients who received real simaging vs those who did not are presented in the supplementary material. Median NIHSS was 16 (9-24). 625 (63%) patient had ischaemic stroke, 206 (21%) primary intracereha, ha morrhage, 25 (3%) subarachnoid haemorrhage and 130 (13%) were of undetermined troke type. Ischaemic stroke subtypes by OCSP classification are described in Supplement try F. gure one. 355 (36.0%) patients died in hospital during the initial admission and 175 (1/1/%); ost hospital discharge. At one year 182 (18.5%) participants were lost to following rounds are reported in Supplementary table one. Missing item data is reported in Suprlementary table two, and was low at under 1% for most variables.

Case Fatality Rate

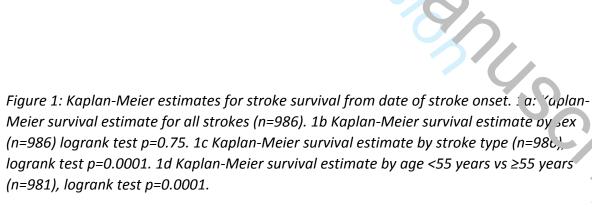
Case fatality rate was 37.1% at 30 days, 44.4% at 50 clavs, 49.9% at one year and 53.2% at two years (*table one*). Case fatality rates by stroke type are shown in *Table one* and univariable analysis of CFR at one year is reported in *suppler a itary table three*. Ischaemic stroke CFR increased from 25.3% at 30 days to 45.6% at two years whilst intracerebral haemorrhage CFR increased from 40.3% at 30 days to 51.0% at two years.

Case Fatality	All strokes	Ischaemic	Intracerebral	Su para innoid	Undetermined
			haemorrhage	haernorinag	
	N=986	N=625 (63%)	N=206 (21%)	N=25 (3%)	N=130 (13%)
30 day case	366 (37.1%)	158 (25.3%)	83 (40.3%)	15 (60%)	110 (84.6%)
fatality					
90 day case	438 (44.4%)	210 (33.6%)	91 (44.2%)	18 (72%)	19 (21.5%)
fatality					
One year	492 (49.9%)	258 (41.3%)	95 (46.1%)	18 (72%)	121 (97.1%)
case fatality					
Two year	529 (53.2%)	285 (45.6%)	105 (51.0%)	18 (72%)	121 (93.1%
case fatality					

Table one: Case fatality rate by stroke type, at 7 days, 30 days, 90 days, one year and two years post stroke

Kaplan-Meier survival estimates are shown in *figure 1*. The survival estimates demonstrate that most deaths occur within the first 90 days post stroke, however survival continues to

decrease up to two years post stroke. Figure 1c demonstrates significant differences in survival by stroke type (p=0.0001), with intracerebral haemorrhage patients initially having a steep decrease in survival compared to ischaemic stroke patients, followed by survival estimates for the two stroke types becoming closer over time. Figure 1d demonstrates significantly reduced survival in patients \geq 55 years (p=0.0001). Kaplan-Meier survival curves with censoring hashmarks are reported in Supplementary figure two. Univariable analysis of death at one year by stroke type is reported in Supplementary table four.



Cox proportional hazards model for fatality is shown in *table two below*. Male sex, previous stroke, atrial fibrillation, subarachnoid haemorrhage, undetermined stroke type, and hospital complication were all associated with death. The presence of hypertension was associated with survival. A sensitivity analysis excluding in hospital complications is presented in *Supplementary table six*.

Independent Variable	Count	Hazard Ratio	95% CI
Age (each additional year)*	58.9 (SD: 14.0)	1.00	1.00-1.01
Male sex	495 (50.2%)	1.28	1.05-1.56
Previous stroke	128 (13.0%)	1.34	1.04-1.71
Hypertension	831 (84.3%)	0.71	0.57-0.90
Diabetes	212 (21.5%)	1.04	0.84-1.29
Atrial fibrillation	38 (3.9%)	1.58	1.06-2.34
Dyslipidaemia	401 (40.7%)	-	
urrent smoker	153 (15.8%)	-	
hol use (any)	255 (26.7%)	-	
Resident of Freetown	822 (84.0%)	-	
Higher education level	367 (37.2%)	0.91	0.75-1.10
Primary b. eadwinner	424 (43%)	0.88	0.72-1.07
Pre-stroke Barthel Inde X Mean (SJ)	96.7 (12.4)	-	
NIHSS (each additional r sir",	16 (IQR: 9-24)	1.07	1.06-1.08
¥Intracerebral haemorri age	206 (20.9%)	1.18	0.93-1.50
¥Subarachnoid haemorrhage	o (?.5%)	2.31	1.40-3.81
¥Undetermined stroke type	(30 (13 2%)	3.18	2.44-4.14
≥1 in hospital complication	396 / (0.25)	1.65	1.36-1.98

Table two: Cox Proportional Hazards $m \in \mathcal{L}_1$ for all strokes. *Control variables. ¥Stroke type compared to ischaemic stroke $n = \langle \cdot \rangle$ (*) variables with dashes (-) were not included in regression model.

Functional Outcome

Progression of functional status displayed by Barthel Inde[®] (P) at soven days prior to stroke, seven days post stroke, 90 days, and one year post stroke is thou in *figure 2*. Seven days prior to stroke 93% of patients were independent with assistance 5% at seven days post stroke, 28% at 90 days, and 19% at one year. From seven days to 90 hays categorical functional status improved for 272 (34.8%) patients, worsened (including d ath) for 251 (32.1%), 145 (18.5%) stayed the same and 114 (14.6%) were missing. From 9° days to one year, categorical functional status improved for 56 (13.0%), worsened (including death) for 92 (21.4%), 169 (39.3%) stayed the same and 113 (26.3%) were missing.

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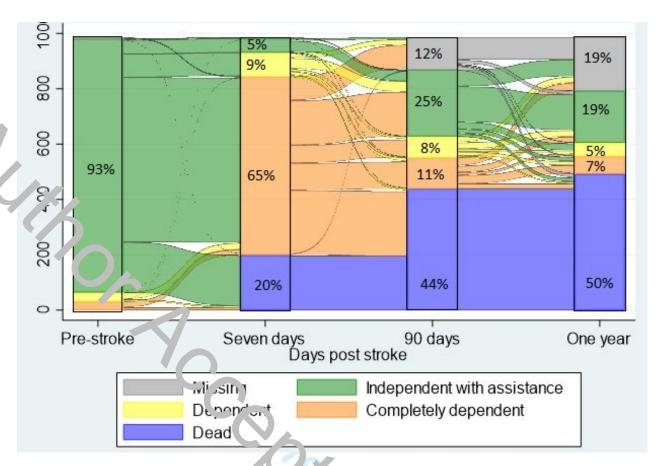


Figure 2: Sankey plot of flow of functional out tome pre-stroke, seven days, 90 days and one year post stroke. Percentage demonstrates or portion of patients with functional outcome measured by BI: Independent with assistance 21 > 5 Capendent BI 60-84, Completely dependent BI <60.

Logistic regression with Odds ratios (OR) for functional independence with assistance (BI ≥85) at one year is shown in table three. Increasing age, previous roke, NIHSS, undetermined stroke type and ≥1 in hospital complication were assisted with lower OR of functional independence at one year. Whilst hypertension and being the primary breadwinner of the household were associated with higher OR of functions' independence at one year.

Independent Variable	OR	95% CI
Age (each additional year)	0.97	0.95-0.99
Male sex	0.89	0.57-1.39
Previous stroke	0.50	0.26-0.98
Hypertension	1.98	1.14-3.44
Diabetes	0.64	0.39-1.05
Atrial fibrillation	0.73	0.20-2.66
Higher education level	1.32	0.86-2.01
Primary breadwinner	1.59	1.01-2.49
NIHSS (each additional point)	0.89	0.86-0.91
¥Intracerebral haemorrhage	1.26	0.77-2.07

¥Subarachnoid haemorrhage	0.36	0.11-1.23
¥Undetermined stroke type	0.18	0.05-0.62
≥1 in hospital complication	0.52	0.34-0.80

Table three: Logistic regression for functional independence with assistance (Bl≥85) at one year, n=. ¥Stroke type compared to ischaemic stroke.

Jisc .ssion

This is the first publication reporting long term outcomes after stroke in Sierra Leone. We report 50-52, CFR of 37.1%, at the higher end of the global estimates of CFR²³. 90 day CFR of 44.4% at d on 2 year CFR of 49.7% are higher than pooled CFR estimates in SSA at 90 days of 22.3% (95%CI: 16.6-29.2) and one year 33.2%(95%CI: 23.6-44.5) respectively⁶. Our reported CFR is higher than similar, atudies in Benin²⁴, Kenya²⁵, and closely matches reported CFR in Ghana⁷. The high CFR can prospital studies at the severe case mix, our median NIHSS was 16 (9-24), higher than other West African hospital-based stroke registers and significantly higher than seen in UK or North American pospital studies²⁶.

Case fatality in our cohort was higher in patients with intracerebral haemorrhage strokes than ischaemic strokes at all time poly s, the majority of deaths for patients with intracerebral haemorrhage occurred eraly in hospital, whilst ischaemic stroke mortality was more evenly distributed across the cultureried. Relative to global pooled estimates by stroke type, ischaemic stroke CFR was high in that expected and intracerebral haemorrhage CFR was within expected ranges. Our ischaem c st. 3ko 30-day CFR of 25% was higher than worldwide pooled estimates of 13.5% (95% CI 12.3% to 14.7%) at 30 days²⁷. ICH mortality was similar to global estimates, 40% at 30-days compared to 3..3% (95%CT 31.5-41.2) and 46% at one year compared to 50.7% (95%CI = 45.2-56.2)²⁸ It s may partially reflect the under-detection of less severe ischaemic strokes in our cohect, which may happen if people with less severe strokes choose not to seek care, due to common barriers in Sierra Leone such as cost of care²⁹, distance³⁰, trust in the formal health system³¹ and health literacy³². Patients with intracerebral haemorrhage in our cohort were younger Supi lementary table four), had lower prevalence of diabetes, dyslipidaemia, atrial fibrillation, and nigher premorbid status (pre-stroke BI) compared to ischaemic strokes. Concurrently, it reasonable to lack hyperacute stroke care for ischaemic strokes and lack of access to quality care for the comorbidities more prevalent in ischaemic stroke patients. Undetermined stroke ty les were associated with increased CFR as these patients were too sick to transfer for neuroimaging or died before neuroimaging could confirm stroke type (Supplementary tuble five). Subarachnoid haemorrhage CFR of 60% corresponds poorly to global estimates of CFR ranging from 27%-44%³³, reported CFR of 40% in Sudan³⁴, 44.4% in Nigeria³⁵, 45.6% in Kenya³⁶ and reflects both the severity of strokes included in our cohort and lack of timely access to care, including neurosurgical intervention available in our setting^{37, 38}.

We report strokes occurring in younger people, with a mean age of 59 years. As in other settings, age was significantly associated with increased mortality and patients alive at one

year were on average five years younger than those dead at one year. Patients with a previous stroke had increased mortality HR: 1.34 (1.04-1.71), similar to other findings from SSA⁶ suggesting a need to improve the coverage and effectiveness of secondary prevention interventions in Sierra Leone. Atrial fibrillation was associated with increased mortality HR: 1.58 (1.06-2.34). However, only a single 12-lead ECG was conducted in our cohort, therefore atrial fibrillation was likely under-detected. Atrial fibrillation detection should be increased through use of cardiac holters or wearable smart devices with proven diagnostic accuracy³⁹. Atrial fibrillation diagnosis and management remains challenging in our setting, with the problem in the externational Normalized Ratio (INR) monitoring to allow safe and effective warfarin prescription^{41, 42}. In hospital complications were associated with fatality and worse function 1 out some, demonstrating the need to implement evidence based stroke care, such as stroke care, inclusive of swallow screening for the prevention of aspiration pneumonia¹⁵.

Our previous study four J male sex to be associated with in hospital fatality 15, we demonstrate this finding real for long term fatality HR 1.28 (1.05-1.56). Further research is needed to understand whether this is due to intrinsic sex survival differences or potentially related to quality of care differences in the gender separated hospital wards. The presence of hypertension was associated with increased survival HR:0.71 (0.57-0.90) in our cohort, pooled findings from SSA found no association between hypertension and CFR⁶, whilst other West African prospective registers found a single but non-significant direction of effect. This may demonstrate the higher relative foliation of stroke caused by other aetiologies, including renal disease, and malignancy, which were too small a sample size to include in our regression model. Alternatively, it may be que to the influence of hypertension on prevalence of subtypes of haemorrhagic stroke which may influence survival 43, 44, which were not included in our regression model. Hypertension remains the primary dominant modifiable risk factor for stroke in our region 11, and hypertension remains the primary dominant and control should be an urgent priority 45.

Functional impairment was considerable, 93% of patients were completed independent seven days prior to their stroke, at one year post stroke only 19% were independent with assistance. Most functional recovery was seen between seven and 90 days with 34.8% of patients reporting functional improvement, and a smaller proportion 13.0% in proving from 90 days to one year. The functional improvement in some, was matched by a given or amount of decrease in functional outcome and death in others, 32.1% worsening from seven days to 90 days, and 21.4% from 90 days to one year. Rates of functional improvement appear similar to other studies in West Africa 46,47. Functional independence at one year was more likely in younger patients48, first-in-a-lifetime strokes and being the primary breadwinner for the household. Socioeconomic status proxies, being the primary breadwinner (significantly) and higher educational attainment (non-significant), were associated with both improved survival and functional outcome at one year. This corresponds to regional 49 and international findings50, and should inform the development of equitable stroke services in Sierra Leone.

Strengths

This is the first study to report long term CFR and functional status after stroke in Sierra Leone. The study benefits from a prospective, multi-centre design and is one of the largest longitudinal studies of stroke patients in SSA to be published. Key elements of the stroke register were prospective methodology, community awareness raising and removal of the cost barrier for stroke investigations to reduce selection bias and increase access to care. During the study period we recorded 381 strokes per year at Connaught Hospital, compared to 17° strokes per year in 2018¹⁷. This is likely to include not only increased presentation of patient to the hospital but also increased awareness and recognition of stroke amongst heateness and the removal of the cost barrier for investigations. Our study beneficed from neuroimaging rates of 87% and a follow up rate of 82% at one year.

Limitations

The study is not population based, therefore results cannot be extrapolated to the population level and as anfluenced by access to care. Selection bias onto the register, with care seeking only for size of scrokes and under-detection of less severe strokes likely contributes to the high C. River anted. Due to the lack of an onsite CT scanner, we were unable to provide imaging to the most critically ill patients with the most severe strokes (supplementary table five), we therefore do not know the stroke subtype of the sickest patients in our cohort. The study is limitating not using an intracerebral haemorrhage classification system⁵¹, such as the stroke scular lesions (S), medication (M), amyloid angiopathy (A), systemic disease (S), hypertansical (H), or undetermined (U) (SMASH-U) criteria⁴³. Atrial fibrillation was only assessed by congle 12-lead ECG, therefore was likely under-detected.

Conclusions

We demonstrate high CFR of 49.7% at one year relative to extinuous in SSA and Europe. We report significant functional impairment, 93% of patients were sompletely independent seven days prior to their stroke, by one year post stroke only 19% were independent with assistance. Key priorities include prevention of stroke related complication, through evidence based interventions, such as stroke unit based care, increased date ation and improved management of atrial fibrillation, and enhanced coverage of secondary prevention, are key to reducing CFR after stroke in Sierra Leone. Further research into care pathways and interventions to encourage care seeking for less severe strokes should be prioritized.

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Data Availability

The raw data for this study contain both personally identifiable and confidential clinical data. Requests for access to anonymised subsets of data for academic use should be made to the SICLE team where data will be made available subject to academic review and a certaintee of a data-sharing agreement: https://www.kcl.ac.uk/research/stroke.

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Authorship Contributions

CS, CDW, AJML, DY, GFD, JFR, AR, PL, LW acquired the funding. CS, CDW, AJML, DY, GFD, JFR, AR, PL, CLW, IJM conceptualised the research. CS was the Chief Investigator on the grant and GFD and DRL were the Sierra Leon investigators. Investigation was conducted by CS, DY, GFD, ZFC, MB, MG, SP, MT and CW. Dr. Curated by DY, ZFC, SP. DY conducted the analysis and wrote the first draft under the supervision and detailed commenting over time by IJM, JFR and MSB. IJM, JFR, MSB are supervisor of Pu's PhD fellowship. All authors approved the final manuscript.

Conflicts of Interest

The authors declare that there is no conflicting or competing interest.

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