

Central Lancashire Online Knowledge (CLoK)

Title	Towards Structurally New Cyanine Dyes – Investigating the Photophysical and Potential Antifungal Properties of Linear Substituted Heptamethine Dyes
Type	Article
URL	https://clock.uclan.ac.uk/id/eprint/52004/
DOI	https://doi.org/10.1111/cote.12780
Date	2025
Citation	Okoh, Okoh Adeyi, Lawrence, Clare Louise, Bisby, Roger, Brennan, Sarah-Louise and Smith, Robert B (2025) Towards Structurally New Cyanine Dyes – Investigating the Photophysical and Potential Antifungal Properties of Linear Substituted Heptamethine Dyes. <i>Coloration Technology</i> , 141 (1). pp. 20-25. ISSN 1472-3581
Creators	Okoh, Okoh Adeyi, Lawrence, Clare Louise, Bisby, Roger, Brennan, Sarah-Louise and Smith, Robert B

It is advisable to refer to the publisher's version if you intend to cite from the work.
<https://doi.org/10.1111/cote.12780>

For information about Research at UCLan please go to <http://www.uclan.ac.uk/research/>

All outputs in CLoK are protected by Intellectual Property Rights law, including Copyright law. Copyright, IPR and Moral Rights for the works on this site are retained by the individual authors and/or other copyright owners. Terms and conditions for use of this material are defined in the <http://clock.uclan.ac.uk/policies/>

SHORT COMMUNICATION

Towards structurally new cyanine dyes—investigating the photophysical and potential antifungal properties of linear substituted heptamethine dyes

Okoh Adeyi Okoh¹ | Clare L. Lawrence² | Roger H. Bisby³ |
Sarah L. Brennan¹ | Robert B. Smith¹ 

¹Institute for Materials and Investigative Sciences, University of Central Lancashire, Preston, UK

²School of Pharmacy and Biomedical Sciences, University of Central Lancashire, Preston, UK

³School of Environment and Life Sciences, University of Salford, Salford, UK

Correspondence

Robert B. Smith, Institute for Materials and Investigative Sciences, University of Central Lancashire, Preston PR1 2HE, UK.

Email: rbsmith@uclan.ac.uk

Funding information

Faculty of Science and Technology; University of Central Lancashire

Abstract

The synthesis of a range of new linear substituted heptamethine dyes has been designed and described. The photophysical properties of all the dyes were investigated, with many exhibiting improved fluorescent quantum yields when compared with indocyanine green. Finally, growth inhibition studies were performed in the fission yeast *Saccharomyces pombe*, which suggests potential antifungals activity in the μM range.

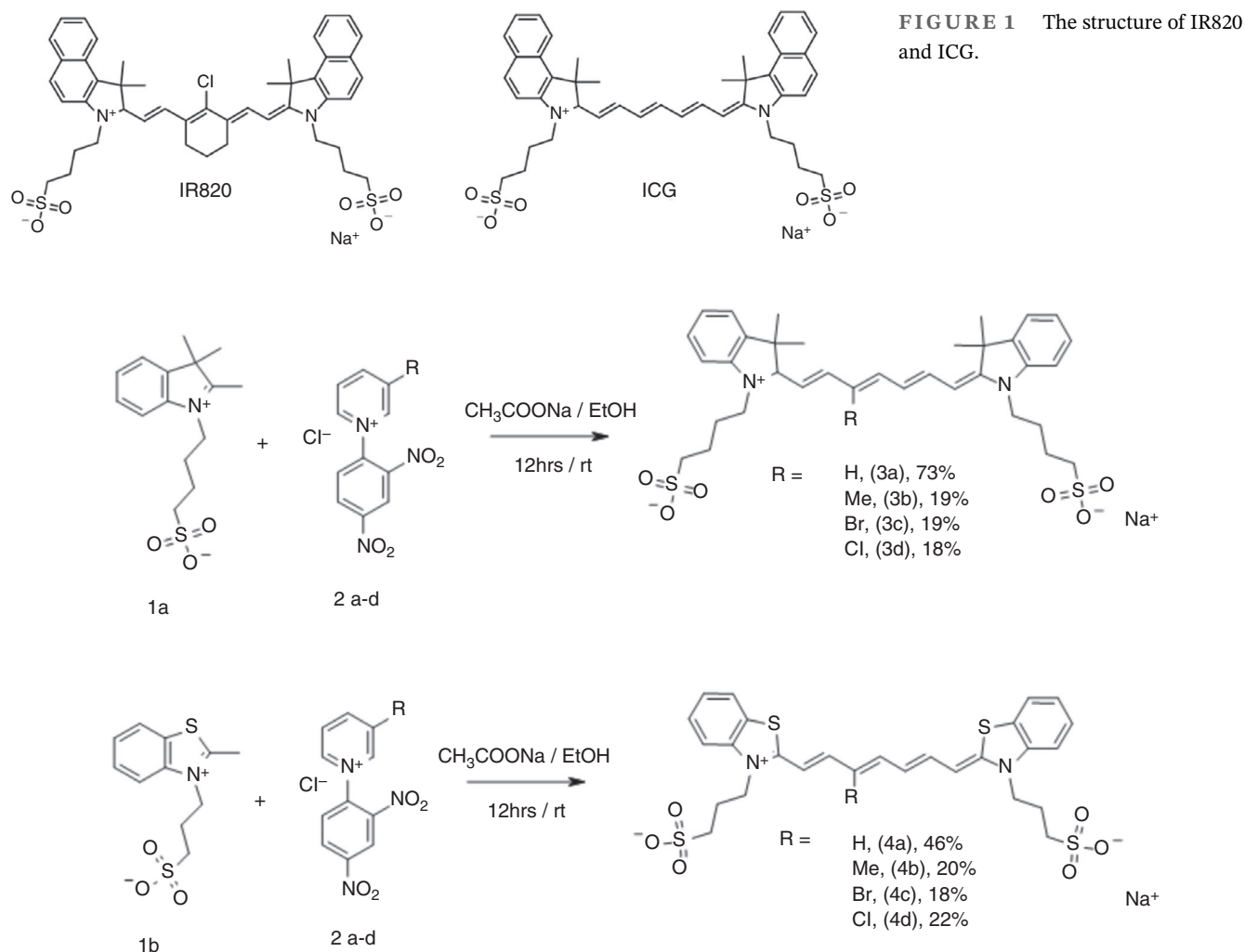
1 | INTRODUCTION

Near infrared (NIR) imaging of tissues to visualise and investigate *in vivo* molecular targets using fluorophores with absorption and fluorescence spectra within the 700–900 nm NIR range has several advantages over fluorophores that have spectra in the visible region. These include lower absorption by tissue chromophores, including haemoglobin, less scattering within the tissue and lower levels of tissue autofluorescence with lower toxicity and phototoxicity, allowing imaging in tissues to over 1 cm depth.^{1,2} The heptamethine cyanine dye, indocyanine green (ICG) is a good example of such a dye. ICG has been approved by the FDA (Food and Drug Administration) for the visualisation of lymph nodes of patients with breast cancer and melanoma.³ Although ICG

exhibits a NIR absorption/emission profile and has low toxicity, its clinical application is limited due to several failings, one such being low fluorescence quantum yield.⁴ ICG and IR820 shown in Figure 1, are notable in having absorption and emission spectra considerably further to the NIR region than most other potential fluorophores such as fluorescein and BODIPY based probes.⁵ With this in mind, there is a need to develop new NIR fluorophores with improved photophysical performance compared to ICG for medical applications. In recent years, our group have been investigating synthetic procedures which would allow us to access a range of linear substituted heptamethine dyes with improved photophysical properties based on the Zincke reaction.⁶ Herein, we report a flexible synthesis of these types of dyes using an *in situ* cascade reaction strategy as outlined in Scheme 1 along

This is an open access article under the terms of the [Creative Commons Attribution](https://creativecommons.org/licenses/by/4.0/) License, which permits use, distribution and reproduction in any medium, provided the original work is properly cited.

© 2024 The Author(s). *Coloration Technology* published by John Wiley & Sons Ltd on behalf of Society of Dyers and Colourists.



SCHEME 1 Synthetic route to the target dyes **3a–3d** and **4a–4d**.

with the photophysical properties and the growth inhibition studies with the compounds produced. The impact of this research is timely, highlighting the synthetic development of cost-effective molecular probes with enhanced photophysical and toxicity characteristics when compared against the current clinical standard ICG and IR820.

2 | RESULTS AND DISCUSSION

The synthesis of both sets of functionalised linear hepta-methine cyanine dyes (**3a–3d** and **4a–4d**) was straightforward and required no harsh conditions as shown in Scheme 1. *N*-Alkylation of 2,3,3-trimethylindolenine with 1,4-butanedisulfonate (**1a**) and 2-methylbenzothiazole with 1,3-propanedisulfonate (**1b**) was accomplished in high yields. The change in one carbon addition on the *N*-alkyl chain for the 2,3,3-trimethylindolenine highlights the flexibility of the system. The synthesis of the substituted *N*-(2,4-dinitrophenyl)-pyridinium chloride (Zincke salts), **2a–**

2d, was accomplished in using 1-chloro-2,4-dinitrobenzene and the relevant meta-substituted pyridines in refluxing acetone. All Zincke salts precipitated directly from the reaction mixture, without need of purification and were isolated at the pump in high yields. Both sets of linear cyanine dyes (**3a–3d** and **4a–4d**) were produced through an *in situ* cascade reaction in one pot. This was accomplished via the Zincke ring opening of the substituted *N*-(2,4-dinitrophenyl)-pyridinium chloride with the *N*-alkylated substituted indolenine salts under basic conditions.⁷ The whole reaction taking place at room temperature over a period of 12 h, with a strong green colour becoming prevalent from the start of the reaction. The crude dyes were all purified by column chromatography using silica gel to obtain the pure compounds. The yields of the substituted dyes (**3b–3d** and **4b–4d**) are shown in Table 1 and are all comparable (19%–22%). Aniline is usually required to facilitate the ring opening of Zincke salts.⁸ However, aniline was not employed in this instance to emphasise the reactivity of the substituted

TABLE 1 Photophysical data for dyes within methanol solution.

Compounds				Fluorescence studies				Growth inhibition studies		
Code	X	Y	Z	Yield (%)	Absorption (nm)	Emission (nm) ^a	Stokes shift (nm)	Fluorescence quantum yield ^b	Minimum growth inhibition (μM)	Log P
3a	(CH ₃) ₂	CH ₂ (CH ₂) ₃ SO ₃ [−]	H	73	747	775	28	0.13	15.3	−1.995
3b	(CH ₃) ₂	CH ₂ (CH ₂) ₃ SO ₃ [−]	CH ₃	19	784	814	30	0.07	9.4	0.361
3c	(CH ₃) ₂	CH ₂ (CH ₂) ₃ SO ₃ [−]	Br	19	743	769	26	0.09	8.5	0.279
3d	(CH ₃) ₂	CH ₂ (CH ₂) ₃ SO ₃ [−]	Cl	18	747	774	27	0.12	9.1	0.148
4a	S	CH ₂ (CH ₂) ₂ SO ₃ [−]	H	46	762	789	27	0.15	15.9	−3.542
4b	S	CH ₂ (CH ₂) ₂ SO ₃ [−]	CH ₃	20	761	784	23	0.15	10.1	−1.185
4c	S	CH ₂ (CH ₂) ₂ SO ₃ [−]	Br	18	753	779	26	0.13	9.2	−1.267
4d	S	CH ₂ (CH ₂) ₂ SO ₃ [−]	Cl	22	758	783	25	0.16	9.8	−1.398
5a	Figure 2			46	782	802	20	0.085	17.2	−1.780
5b	Figure 2			15	798	815	17	0.066	18.4	−2.422
ICG ^c	(CH ₃) ₂	CH ₂ (CH ₂) ₃ SO ₃ [−]	H	N/A	785	814	29	0.072	16.6	1.591

^aExcitation at 785 nm.^bQuantum yields ± 10% measured as described in Okoh et al.,⁷ λ_{max} ± 1 nm. Indocyanine green (ICG) has the additional benzo unit on the core. Minimum inhibitory concentration (MIC) of synthesised compounds tested in *Saccharomyces pombe*. Cells were inoculated at a concentration of 3 × 10⁴/mL. Culture media tested were in yeast extract (YE) broth for *S. pombe*. Growth of yeast was determined visually after 24 h incubation at 30°C. The MIC of the compounds were determined to be well before yeast growth was first seen. The experiment was repeated twice for reproducibility.^cYield of ICG not applicable (N/A) as this was purchased for Merck.

Zincke salts in this article. It should be mentioned that the greater yields seen for **3a**, **4a**, **5a**, and **5b** were attributed to the use of aniline to ring open the unsubstituted Zincke salts. Furthermore, the use of aniline to ring open **2b–2d**, also lead to purification issues requiring further column separations for substituted dyes (**3b–3d** and **4b–4d**). For all dyes, proton nuclear magnetic resonance (^1H NMR) confirmed the structure with high-resolution mass spectrometry (HRMS) confirming the molecular weight. Full information on synthetic procedures and analysis of all dyes within this paper can be found within the supporting information.

The photophysical properties of both the substituted linear heptamethine dyes used in this study are summarised in Table 1, with all stock solutions being prepared in methanol. The fluorescence quantum yield of 0.13 for ICG in dimethyl sulphoxide (DMSO) solution was used as the quantum yield standard. The absorbance and fluorescence spectra of each of the dyes were measured sequentially to reduce photobleaching and solubility issues. The fluorescence quantum yields of the dyes were calculated using the relative method, that is from plots for standard and cyanine dyes of their individual integrated fluorescence peak areas versus fraction of light absorbed at the excitation wavelength as described in Okoh et al.⁹ In this study, emphasis was focused on the effects of structural diversity around the heptamethine backbone of the synthesised NIR heptamethine cyanine dyes on their photophysical properties.

All dyes (**3a–3d** and **4a–4d**) exhibited absorption spectra maxima in the NIR region between 743 and 762 nm, which is in line with other non-substituted heptamethine dyes. The fused benzyl rings on ICG leads to an increased bathochromic shift by approximately 20–40 nm into the red, explaining the difference in its absorption and emission. It is worth noting that the Stokes shift for all compounds is comparable with ICG. As reported in a previous article the replacement of a 3,3-dimethylindolenine ring with a benzothiazole also shifts the absorption and fluorescence maxima deeper into the red as shown by comparing all the dyes and a good example is **3a** (747 nm, 775 nm) with **4a** (762 nm, 789 nm), respectively.⁹ In addition to this, dyes **4a–4d** (bearing the benzothiazole ring) all showed the highest quantum yield when compared with dyes **3a–3d**, this can

possibly be attributed to the sulphur heterocyclic atom (on the benzothiazole) being sp^2 hybridised and thus helping maintain planarity, whereas the dimethyl substituted sp^3 carbon atom would distort 'pucker' the ring.^{10–12}

Finally, we wanted to determine how the photophysical properties of these compounds compared against rigid heptamethine dyes **5a** and **5b** (Figure 2) as shown in Figure 3. Unsurprisingly, the linear heptamethine dyes (**3a–3d** and **4a–3d**) had superior Stokes shifts and fluorescence quantum yields compared with the rigid heptamethine dyes and this is in line with previous studies.⁹ Its important to note that atoms of larger mass have increased spin orbit coupling, which promotes the efficiency of electron excitation from a S_0 to S_1 state.¹³ Thus, the presence of a Cl atom on the central heptamethine dyes (**3d** and **4d**) should increase fluorescence quantum yield. This is supported by the data provided in Table 1. Moreover, replacement of the methylene moiety by sulphur in the indolyl ring of these compounds results in bathochromic shifts of the absorption and fluorescence spectra. It is possible for the sulphur atom in **4a–4d** and **5b** to be sp^2 hybridised leading to extended electron delocalisation and conjugation with the sp^2 hybridised methine carbon atoms within the polyene. This is expected to lower the highest occupied molecular orbital–lowest unoccupied molecular orbital (HOMO–LUMO) energy gap that is responsible for these transitions.^{14,15} This effect appears to be slightly greater in the more rigid structures **5a** and **5b** (16 nm shift in the absorption maximum from **5a** to **5b** on sulphur substitution) than when comparing **3d** and **5b** for which then bathochromic shift is only 11 nm. A full investigation of this effect would require more extensive measurements of fluorescence lifetimes and solvent effects.

Having determined that many of the compounds prepared have significantly higher fluorescence quantum yield compared with ICG, **5a** and **5b**, we tested them in the fission yeast *Saccharomyces pombe*, as a model organism for human cells and thus provide an estimate of compound toxicity. These compounds caused significant growth inhibition of *S. pombe* cells suggesting that they have a role as an antifungal agent which is consistent with published data.¹¹ The minimum inhibitory concentration (MIC) values for these compounds are shown in Table 1 and it is interesting to note that for compounds

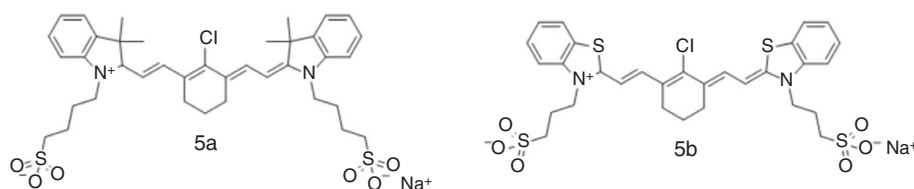
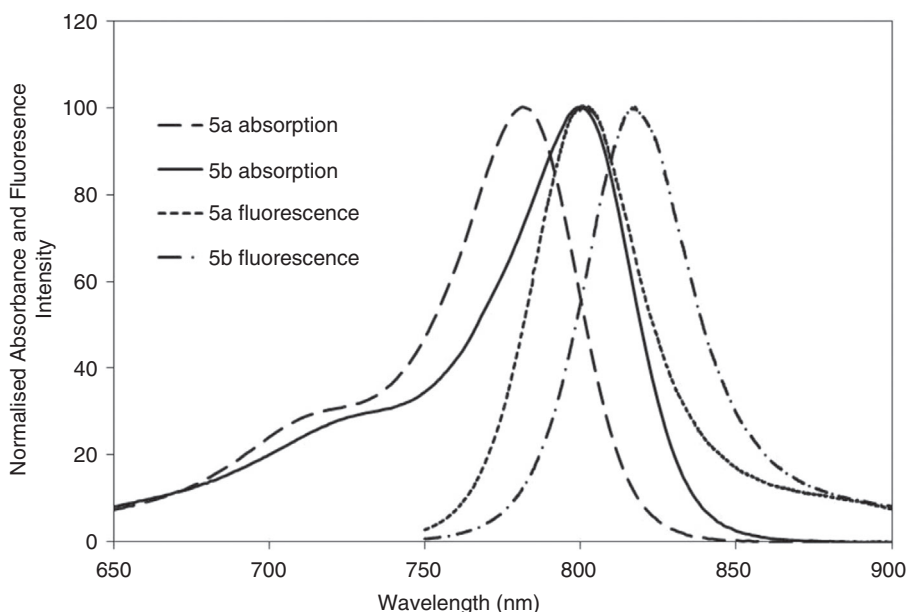


FIGURE 2 The rigid heptamethine dyes **5a** and **5b**.

FIGURE 3 Photophysical properties of **5a** and **5b**.



3b–3d and **4b–4d** the MIC values are less than 11 μM . Due to its polyene structure, classical antifungal against amphotericin B was used as control bioassay for which we determined a MIC of 0.53 μM . We hypothesise that these compounds could have two possible modes of action, due to structural comparisons with amphotericin B. One possibility is pore formation within the yeast membrane via mycosamine-mediated interaction with ergosterol and this is based on the mode of action of amphotericin B which is a polyene antifungal.¹⁵ A second possible mechanism might be attributed to the negative charge on the yeast cell surfaces which could lead to an interaction between the yeast cell surface and the quaternary N-alkylated sub-units on these compounds.¹⁶ It is important to highlight that for each of the compounds listed in Table 1, the MIC falls below 20 μM indicating that these compounds are potent against *S. pombe*. It is interesting to note that ICG shows a slightly higher MIC at 16.60 μM compared to compounds **3b–3d** and **4b–4d**. Although it could be suggested that the increased lipophilicity caused by extra fused aromatic ring has an affect on growth inhibition, it is important to note that this is in line with the other non-substituted compounds **3a** and **4a**, which have similar MICs. The compounds are currently being extensively screened against the more pathogenic fungi, *Candida albicans*.

3 | CONCLUSION

In summary, we report the synthesis, photophysical properties and growth inhibition properties of novel linear substituted heptamethine dyes. The synthetic route to these

dyes is simple and provides the opportunity to develop a plethora of structural alternatives, suitable for further modification. A good example of such would be the incorporation of a boronic acid motif for Suzuki chemistry. The photophysical properties of all compounds prepared in this study show an increase in fluorescence quantum yield when compared against the standard ICG. Moreover, compounds **3a**, **3d** and **4c** all show a < 1.5-fold increase with **4a**, **4b**, and **4d** all showing a ≥ 2.0 -fold excess. In all cases the dyes developed in this study show enhanced photophysical properties when compared against the rigid heptamethine dyes **5a** and **5b**. Further structural enhancements using the methodology could see structural alternative developed which include the attachment of sugar units towards tumour targeting or photodynamic agents such as methylene blue, presenting an opportunity to develop some interesting light activated biocides.

FUNDING INFORMATION

The authors are pleased to acknowledge the financial support from the Faculty of Science and Technology, University of Central Lancashire.

CONFLICT OF INTEREST STATEMENT

The authors declare no conflict of interest.

ORCID

Robert B. Smith  <https://orcid.org/0000-0002-2829-5360>

REFERENCES

- Owens EA, Henary M, El Fakhri G, Choi HS. Tissue-Specific Near-Infrared Fluorescence Imaging. *Acc Chem Res*. 2016; 49(9):1731-1740. doi:10.1021/acs.accounts.6b00239

2. Sevick-Muraca EM, Houston JP, Gurfinkel M. Fluorescence-enhanced, near infrared diagnostic imaging with contrast agents. *Curr Opin Chem Biol.* 2002;6(5):642-650.
3. Grischke EM, Röhm C, Hahn M, Helms G, Brucker S, Wallwiener D. ICG fluorescence technique for the detection of sentinel lymph nodes in breast cancer: results of a prospective open-label clinical trial. *Geburtshilfe Frauenheilkd.* 2015;75(9):935-940.
4. Zhang S, Ji X, Zhang R, Zhao W, Dong X. Water-soluble near-infrared fluorescent heptamethine dye for lymphatic mapping applications. *Bioorg Med Chem Lett.* 2022;73:128910.
5. Lovell TC, Branchaud BP, Jast R. An organic chemist's guide to fluorophores – understanding common and newer non-planar fluorescent molecules for biological applications. *Eur J Org Chem.* 2024;27:e202301196. doi:10.1002/ejoc.202301196
6. Šťacková L, Šťacko P, Klán P. Approach to a substituted Heptamethine cyanine chain by the ring opening of Zincke salts. *J Am Chem Soc.* 2019;1:7155-7162.
7. Okoh OA, Bisby RH, Lawrence CL, Rolph CE, Smith RB. Promising near-infrared non-targeted probes: Benzothiazole heptamethine cyanine dyes. *J Sulfur Chem.* 2014;35:42-56.
8. Edaa M, Kurth MJ. Polymer site-site interactions: mechanistic implication in the solid-phase Zincke reaction. *Chem Commun.* 2001;8:723-724.
9. Okoh OA, Critchly ME, Bisby RH, Lawrence CL, Wainwright M, Smith RB. Synthesis and photophysical properties of meso-aminophenyl substituted heptamethine dyes as potential leads to new contrast agents. *Color Technol.* 2019;135:305-311.
10. Ohulchanskyy TY, Donnelly DJ, Detty MR, Prasad PNJ. Heteroatom substitution induced changes in excited-state Photophysics and singlet oxygen generation in Chalcogenoxanthylum dyes: effect of sulfur and selenium substitutions. *J Phys Chem B.* 2004;108:8668-8672.
11. Nijegorodov NI, Downey WS. The influence of planarity and rigidity on the absorption and fluorescence parameters and intersystem crossing rate constant in aromatic molecules. *J Phys Chem.* 1994;98:5639-5643.
12. Lawrence CL, Okoh AO, Vishwapathi V, McKenna ST, Critchley ME, Smith RB. N-alkylated linear heptamethine polyenes as potent non-azole leads against *Candida albicans* fungal infections. *Bioorg Chem.* 2020;102:104070.
13. Xiong Z, Gong W, Xu P, et al. Reexamining the heavy-atom-effect: the universal heavy-atom-induced fluorescence enhancement principle for through-space conjugated AIEgens. *Chem Eng J.* 2023;451:139030.
14. Reimann LK, Fortes DS, Santos FS, et al. Near-infrared-emitting meso-substituted heptamethine cyanine dyes: from the synthesis and photophysics to their use in bioimaging. *Chem.* 2023;11:47. doi:10.3390/chemosensors11010047
15. Neumann A, Wieczor M, Zielinska J, Baginski M, Czub J. Membrane sterols modulate the binding mode of amphotericin B without affecting its affinity for a lipid bilayer. *Langmuir.* 2016;32(14):3452-3461.
16. Tyler AR, Okoh AO, Lawrence CL, Jones VC, Moffatt C, Smith RB. N-alkylated 2,3,3-trimethylindolenines and 2-methylbenzothiazoles. Potential lead compounds in the fight against *Saccharomyces cerevisiae* infections. *Eur J Med Chem.* 2013;64:222-227.

SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

How to cite this article: Okoh OA, Lawrence CL, Bisby RH, Brennan SL, Smith RB. Towards structurally new cyanine dyes—investigating the photophysical and potential antifungal properties of linear substituted heptamethine dyes. *Coloration Technology.* 2024;1-6. doi:10.1111/cote.12780